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Violation Tracker Current Parent Company Summary

Current Parent Company Name: Pfizer

Ownership Structure: publicly traded (ticker symbol PFE)

Headquartered in: New York
Major Industry: pharmaceuticals
Specific Industry: pharmaceuticals

Penalty total since 2000: \$11,261,560,400

Number of records: 106

TOP 5 OFFENSE GROUPS (GROUPS DEFINED)	PENALTY TOTAL	NUMBER OF RECORDS
safety-related offenses	\$5,637,014,255	15
healthcare-related offenses	\$3,373,675,000	10
government-contracting-related offenses	\$1,207,937,502	21
competition-related offenses	\$1,028,819,452	20
employment-related offenses	\$6,335,164	6
TOP 5 PRIMARY OFFENSE TYPES	PENALTY TOTAL	NUMBER OF RECORDS

TOP 5 PRIMARY OFFENSE TYPES	PENALTY TOTAL	NUMBER OF RECORDS
drug or medical equipment safety violation	\$5,636,840,000	9
off-label or unapproved promotion of medical products	\$3,373,675,000	10
False Claims Act and related	\$1,207,937,502	21
price-fixing or anti-competitive practices	\$933,902,884	14
Foreign Corrupt Practices Act	\$60,216,568	3

Notes: Parent-subsidiary linkages are based on relationships current as of the latest revision listed in the <u>Update Log</u>, which may vary from what was the case when a violation occurred. The penalty totals are adjusted to account for the fact that the individual entries below may include both agency records and settlement announcements for the same case; or else a penalty covering multiple locations may be listed in the individual records for each of the facilities. The totals are also adjusted to reflect cases in which federal and state or local agencies cooperated and issued separate announcements of the outcome. Duplicate or overlapping penalty amounts are marked with an asterisk in the list below.

Links: For a summary of this company's regulatory penalties in more than 50 other countries, see its Violation Tracker Global summary page here.

Subsidy Tracker data on financial assistance to this company by federal, state and local government agencies can be found <u>here</u>.

Individual Penalty Records:

Click on the company or penalty amount for more information on each case.

COMPANY	PRIMARY OFFENSE TYPE	YEAR	<u>AGENCY</u>	PENALTY AMOUNT
American Home Products	drug or medical equipment safety violation	2002	private lawsuit- federal	\$ <u>3,750,000,000</u>
Pfizer Inc.	off-label or unapproved promotion of medical products	2009	FDA	\$ <u>2,300,000,000</u>
Pfizer Inc.	False Claims Act and related	2016	DOJ_CIVIL	\$ <u>784,600,000</u>
<u>Pfizer</u>	drug or medical equipment safety violation	2004	private lawsuit- federal	\$ <u>750,000,000</u>
<u>Pfizer</u>	drug or medical equipment safety violation	2008	private lawsuit- federal	\$ <u>745,000,000</u>

COMPANY	PRIMARY OFFENSE TYPE	YEAR	AGENCY	PENALTY AMOUNT
Wyeth Pharmaceuticals Inc.	off-label or unapproved promotion of medical products	2013	FDA	\$ <u>490,900,000</u>
Warner-Lambert	off-label or unapproved promotion of medical products	2004	FDA	\$ <u>430,000,000</u>
Wyeth Pharmaceuticals, Inc.	False Claims Act and related	2016	MULTI-AG	(*) \$ <u>371,351,180</u>
Pfizer Inc.	price-fixing or anti- competitive practices	2021	private lawsuit- federal	\$ <u>345,000,000</u>
Pfizer, Inc.	kickbacks and bribery	2009	MULTI-AG	(*) \$ <u>331,485,170</u>
<u>Pfizer</u>	drug or medical equipment safety violation	2013	private lawsuit- federal	\$ <u>288,000,000</u>
Wyeth Pharmaceuticals, Inc.	off-label or unapproved promotion of medical products	2013	MULTI-AG	(*) \$ <u>257,400,000</u>
<u>Warner-Lambert</u>	off-label or unapproved promotion of medical products	2004	MULTI-AG	(*) \$ <u>190,000,000</u>
Pfizer Inc.	price-fixing or anti- competitive practices	2014	private lawsuit- federal	\$ <u>190,000,000</u>
King Pharmaceuticals	False Claims Act and related	2005	DOJ_CIVIL	\$ <u>124,000,000</u>
King Pharmaceuticals	False Claims Act and related	2006	MULTI-AG	(*) \$ <u>124,000,000</u>
Pfizer Inc.	price-fixing or anti- competitive practices	2018	private lawsuit- federal	\$ <u>94,000,000</u>

COMPANY	PRIMARY OFFENSE TYPE	YEAR	<u>AGENCY</u>	PENALTY AMOUNT
Pfizer Inc.	price-fixing or anti- competitive practices	2024	private lawsuit- federal	\$ <u>93,000,000</u>
Pfizer Inc.	off-label or unapproved promotion of medical products	2008	MULTI-AG	\$ <u>60,000,000</u>
Pfizer Inc.	False Claims Act and related	2025	DOJ_CIVIL	\$ <u>59,746,277</u>
Pfizer Inc.	drug or medical equipment safety violation	2012	FDA	\$ <u>55,000,000</u>
Pfizer Inc.	price-fixing or anti- competitive practices	2024	private lawsuit- federal	\$ <u>50,000,000</u>
Pfizer Corporation	False Claims Act and related	2002	DOJ_CIVIL	\$ <u>49,000,000</u>
Pfizer Inc.	off-label or unapproved promotion of medical products	2012	MULTI-AG	\$ <u>42,900,000</u>
Alpharma Inc.	False Claims Act and related	2010	DOJ_CIVIL	\$ <u>42,500,000</u>
Pfizer Inc.	False Claims Act and related	2019	IL-AG	\$ <u>41,047,101</u>
Wyeth Pharmaceuticals	price-fixing or anti- competitive practices	2024	private lawsuit- federal	\$ <u>39,000,000</u>
King Pharmaceuticals LLC	price-fixing or anti- competitive practices	2014	private lawsuit- federal	\$ <u>36,500,000</u>
<u>Pfizer</u>	off-label or unapproved promotion of medical products	2014	MULTI-AG	\$ <u>35,000,000</u>

COMPANY	PRIMARY OFFENSE TYPE	YEAR	<u>AGENCY</u>	PENALTY AMOUNT
Pfizer Inc. et al.	price-fixing or anti- competitive practices	2024	private lawsuit- federal	\$ <u>35,000,000</u>
Wyeth-Ayerst Laboratories Division	drug or medical equipment safety violation	2000	FDA	\$ <u>30,000,000</u>
Pharmacia Corporation	False Claims Act and related	2013	WI-AG	\$ <u>29,453,247</u>
Pfizer Inc.	Foreign Corrupt Practices Act	2012	SEC	\$ <u>26,339,944</u>
Wyeth LLC	price-fixing or anti- competitive practices	2024	private lawsuit- federal	\$ <u>25,500,000</u>
Pfizer, Inc.	False Claims Act and related	2018	DOJ_CIVIL	\$ <u>23,850,000</u>
Pfizer Inc.	False Claims Act and related	2002	MULTI-AG	(*) \$ <u>21,084,700</u>
Pharmacia & Upjohn Company Inc.	kickbacks and bribery	2007	USAO	\$ <u>19,700,000</u>
Pharmacia Corporation	False Claims Act and related	2019	IL-AG	\$ <u>18,960,210</u>
Wyeth LLC	Foreign Corrupt Practices Act	2012	SEC	\$ <u>18,876,624</u>
Pfizer Inc.	False Claims Act and related	2013	TX-AG	\$ <u>18,170,000</u>
Pfizer H.C.P. Corporation	Foreign Corrupt Practices Act	2012	DOJ_CRIMINAL	\$ <u>15,000,000</u>
Pharmacia & Upjohn Company LLC	kickbacks and bribery	2007	USAO	\$ <u>15,000,000</u>
Pfizer Inc.	off-label or unapproved promotion of medical products	2011	FDA	\$ <u>14,500,000</u>
<u>Pfizer</u>	price-fixing or anti-	2024	WV-AG	\$ <u>10,937,500</u>

COMPANY	PRIMARY OFFENSE TYPE	YEAR	<u>AGENCY</u>	PENALTY AMOUNT
	competitive practices			
Pharmacia Corporation	price-fixing or anti- competitive practices	2011	private lawsuit- federal	\$ <u>9,615,384</u>
Pfizer Inc.	drug or medical equipment safety violation	2014	NV-AG	\$ <u>9,500,000</u>
Alpharma Inc.	False Claims Act and related	2010	MULTI-AG	(*) \$ <u>8,900,000</u>
Pfizer, Inc. and Pharmacia Corporation	False Claims Act and related	2010	HI-AG	\$ <u>8,200,000</u>
<u>Pfizer</u>	drug or medical equipment safety violation	2003	MULTI-AG	\$ <u>6,000,000</u>
<u>Pfizer, Inc.</u>	drug or medical equipment safety violation	2012	OR-AG	\$ <u>3,340,000</u>
Pfizer Inc. and Pharmacia Corp.	False Claims Act and related	2012	ID-AG	\$ <u>2,900,000</u>
Pfizer Inc.	False Claims Act and related	2011	MULTI-AG	(*) \$ <u>2,621,154</u>
<u>Alpharma, Inc.</u>	price-fixing or anti- competitive practices	2004	FTC	\$ <u>2,500,000</u>
Pharmacia Corporation	False Claims Act and related	2011	NY-AG	\$ <u>2,500,000</u>
Pfizer Inc.	employment discrimination	2023	OFCCP	\$ <u>2,500,000</u>
King Pharmaceuticals LLC	air pollution violation	2013	EPA	\$ <u>2,200,000</u>
KING PHARMACEUTICALS	environmental violation	2013	EPA	(*) \$ <u>2,200,000</u>
<u>Wyeth</u>	price-fixing or anti- competitive practices	2005	private lawsuit- federal	\$ <u>2,100,000</u>

COMPANY	PRIMARY OFFENSE TYPE	YEAR	AGENCY	PENALTY AMOUNT
Purepac Pharmaceutical Co., n/k/a Actavis Elizabeth, LLC	False Claims Act and related	2011	MS-AG	\$ <u>2,010,667</u>
<u>Wyeth</u>	benefit plan administrator violation	2013	private lawsuit- federal	\$ <u>2,000,000</u>
<u>Pfizer</u>	employment discrimination	2009	private lawsuit- federal	\$ <u>1,365,003</u>
Pfizer Inc.	air pollution violation	2008	EPA	\$ <u>975,000</u>
<u>Pfizer</u>	consumer protection violation	2019	OR-AG	\$ <u>975,000</u>
PFIZER GLOBAL MANUFACTURING	environmental violation	2008	EPA	(*) \$ <u>975,000</u>
<u>Alpharma, Inc.</u>	price-fixing or anti- competitive practices	2004	MULTI-AG	\$ <u>750,000</u>
Pfizer Pharmaceuticals LLC	air pollution violation	2014	EPA	\$ <u>728,000</u>
Pfizer Pharmaceuticals, LLC	environmental violation	2014	EPA	(*) \$ <u>728,000</u>
Pfizer Inc.	consumer protection violation	2018	NY-AG	\$ <u>700,000</u>
Pharmacia & Upjohn Company	environmental violation	2005	EPA	\$ <u>676,250</u>
Purepac Pharmaceutical Co.	False Claims Act and related	2010	ID-AG	\$ <u>600,000</u>
Hospira Inc.	employment discrimination	2015	OFCCP	\$ <u>400,000</u>
Pharmacia Corp.	False Claims Act and related	2009	OH-AG	\$ <u>400,000</u>
Pfizer Inc.	off-label or unapproved promotion of medical products	2013	MA-AG	\$ <u>375,000</u>

COMPANY	PRIMARY OFFENSE TYPE	YEAR	AGENCY	PENALTY AMOUNT
PHARMACIA/SOLUTIA	solid waste violation	2007	IL-ENV	\$ <u>210,000</u>
Pfizer Pharmaceuticals, LLC	air pollution violation	2016	EPA	\$ <u>190,000</u>
<u>Pfizer</u>	consumer protection violation	2022	CO-AG	\$ <u>137,174</u>
HOSPIRA, INC.	workplace safety or health violation	2004	OSHA	\$ <u>132,300</u>
<u>Pfizer</u>	consumer protection violation	2022	AZ-AG	\$ <u>124,527</u>
PHARMACIA CORPORATION, et al.	solid waste violation	2009	IL-ENV	\$ <u>96,000</u>
SEARLE LTD	environmental violation	2002	EPA	\$ <u>95,000</u>
Pfizer Inc.	consumer protection violation	2022	KS-AG	\$ <u>85,564</u>
Pfizer, Inc.	consumer protection violation	2022	KS-AG	\$ <u>85,000</u>
Wyeth Pharmaceuticals Company, Inc.	environmental violation	2010	EPA	\$ <u>77,000</u>
Pfizer Pharmaceuticals LLC	environmental violation	2005	EPA	\$ <u>55,000</u>
Wyeth Rouses Point	environmental violation	2008	EPA	\$ <u>44,500</u>
Pfizer Inc.	environmental violation	2006	CT-ENV	\$ <u>40,224</u>
Wyeth Biopharma	wage and hour violation	2005	WHD	\$ <u>40,187</u>
Wyeth Ayerst Pharmaceuticals	environmental violation	2004	EPA	\$ <u>37,000</u>
<u>Pfizer</u>	consumer protection violation	2022	VT-AG	\$ <u>34,564</u>

<u>COMPANY</u>	PRIMARY OFFENSE TYPE	YEAR	<u>AGENCY</u>	PENALTY AMOUNT
Pharmacia and Upjohn Company LLC	environmental violation	2017	EPA	\$ <u>34,170</u>
Pfizer, Inc.	environmental violation	2010	CT-ENV	\$ <u>31,266</u>
<u>Seagen</u> <u>Pharmaceuticals, Inc.</u>	benefit plan administrator violation	2001	EBSA	\$ <u>29,974</u>
PHARMACIA & UPJOHN CARIBE	environmental violation	2001	EPA	\$ <u>27,168</u>
WYETH PHARMACEUTICALS COMPANY - OTC	environmental violation	2000	EPA	\$ <u>25,000</u>
Pfizer Pharmaceuticals	environmental violation	2010	EPA	\$ <u>24,650</u>
PFIZER INC / GROTON SITE	environmental violation	2005	EPA	\$ <u>22,500</u>
Pfizer Pharmaceuticals Ltd.	environmental violation	2004	EPA	\$ <u>20,025</u>
Pfizer Inc.	railroad safety violation	2002	FRA	\$ <u>11,250</u>
PFIZER, INC.	workplace safety or health violation	2012	OSHA	\$ <u>9,600</u>
PHARMACIA CORP	air pollution violation	2002	NJ-ENV	\$ <u>8,625</u>
PHARMACIA & UPJOHN CO LLC	environmental violation	2024	EPA	\$ <u>8,100</u>
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(*): Penalty amounts marked by an asterisk are ones announced by more than one agency. Parent penalty totals are adjusted to avoid double-counting.



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